

11002 U.S. PRO  
09/931643  
08/16/01

SECTION 2: FORM PTO 1449 - MODIFIED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Schofield, et al. Atty Dkt: 2204/A90  
Serial No: Not yet assigned Date: August 15, 2001  
Date Filed: Herewith  
Invention: Optical Switch Router

Commissioner for Patents  
Washington, DC 20231

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS'  
INFORMATION DISCLOSURE STATEMENT

Other Documents

Exam. Ref.

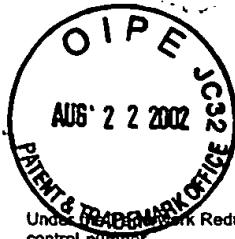
Init. No. Name

- U AA "Simple Wavelength Assignment Protocol", Stephen Suryaputra, et al., October 26, 1999.
- U AB "Work on the Automatic Switched Optical Network", Contribution to T1 Standards Project - T1X1.5, Newsome, et. al., January, 2000.
- U AC Memo: "MPLS Control Plane for Switched Optical Networks", MPLS Working Group Internet Draft, Krishnaswamy, et. al., February 2000.
- U AD Memo: "IP Over Optical Networks: A Summary of Issues" Internet Draft, N. Chandhok, et al., July 2000.
- U AE "Architecture for the Automatic Switched Optical Network" First Draft of Gason, Mayer, September 13, 2000 - September 15, 2000.
- U AF "Controlling Optical Data Networks with an Application Specific routing Protocol", Cisco Systems, Inc., 2000.
- U AG Memo: "Generalized MPLS - Signaling Functional Description" Ashwood- Smith, Network Working Group Internet Draft, July 2001.
- U AH "ASON - Requirements at the Client API", Newsome, et. al., September 2000.
- U AI "Automatically Switched Optical Networks (ASON)", Nortel Networks Limited, June 2000.
- U AJ "MPLS: A Progress Report", Peter Ashwood-Smith, et al., November 1, 1999, URL: <http://www.networkmagazine.com/article/NMG20000426S0015>.

Examiner: Christina Y. Leung

Date Considered: 9-30-03

NOTE FOR EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance AND not considered. Include copy of this form with next communication to applicant.  
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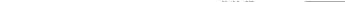
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<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>				<b>Complete if Known</b>	
				<b>Application Number</b>	09/931,643
				<b>Filing Date</b>	August 15, 2001
				<b>First Named Inventor</b>	SCHOFIELD, Bruce A.
				<b>Art Unit</b>	2633
				<b>Examiner Name</b>	Unassigned
<b>Sheet</b>	1	<b>of</b>	1	<b>Attorney Docket Number</b>	13071BAUS02U

**U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	9-30-03
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
*(use as many sheets as necessary)*

Sheet

1

83

1

**Complete if Known**

Application Number	09/931643
Filing Date	8/15/2001
First Named Inventor	Schofield
Art Unit	2633
Examiner Name	
Attorney Docket Number	13071BAUS02U 120-173

**U.S. PATENT DOCUMENTS**

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